Enhanced Association of Tau Pathology and Cognitive Impairment in Mild Cognitive Impairment Subjects with Behavior Symptoms

- ⁵ Xinting Ge^{a,b,c,1}, Yuchuan Qiao^{a,1}, Jiyoon Choi^d, Rema Raman^d,
- ⁶ John M. Ringman^e and Yonggang Shi^{a,*} and for Alzheimer's Disease Neuroimaging Initiative²
- ⁷ ^aLaboratory of Neuro Imaging (LONI), Stevens Neuroimaging and Informatics Institute, Keck School of Medicine,
- 8 University of Southern California, Los Angeles, CA, USA
- ^bSchool of Information Science and Engineering, Shandong Normal University, Jinan, Shandong, China
- ¹⁰ ^cSchool of Medical Imaging, Xuzhou Medical University, Xuzhou, Jiangsu, China
- ¹¹ ^dAlzheimer's Therapeutic Research Institute, Keck School of Medicine, University of Southern California, San ¹² Diego, CA, USA
- ^eDepartment of Neurology, Keck School of Medicine, University of Southern California, Los Angeles, CA, USA
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16 Abstract.

- Background: Mild cognitive impairment (MCI) individuals with neuropsychiatric symptoms (NPS) are more likely to
 develop dementia.
- **Objective:** We sought to understand the relationship between neuroimaging markers such as tau pathology and cognitive symptoms both with and without the presence of NPS during the prodromal period of Alzheimer's disease.
- 21 Methods: A total of 151 MCI subjects with tau positron emission tomographic (PET) scanning with ¹⁸F AV-1451, amyloid-β
- 22 (Aβ) PET scanning with florbetapir or florbetaben, magnetic resonance imaging, and cognitive and behavioral evaluations
- were selected from the Alzheimer's Disease Neuroimaging Initiative. A 4-group division approach was proposed using amyloid (A-/A+) and behavior (B-/B+) status: A-B-, A-B+, A+B-, and A+B+. Pearson's correlation test was conducted for each group to examine the association between tau deposition and cognitive performance.
- ²⁵ for each group to examine the association between tau deposition and cognitive performance.
- **Results:** No statistically significant association between tau deposition and cognitive impairment was found for subjects without behavior symptoms in either the A–B– or A+B– groups after correction for false discovery rate. In contrast, tau deposition was found to be significantly associated with cognitive impairment in entorhinal cortex and temporal pole for the
- A-B+ group and nearly the whole cerebrum for the A+B+ group.
- 88 **Conclusion:** Enhanced associations between tauopathy and cognitive impairment are present in MCI subjects with behavior symptoms, which is more prominent in the presence of elevated amyloid pathology. MCI individuals with NPS may thus be
- at greater risk for further cognitive decline with the increase of tau deposition in comparison to those without NPS.

Keywords: Alzheimer's disease, cognitive impairment, neuropsychiatric symptoms, tau-PET imaging

tors can be found at: https://adni.loni.usc.edu/wp-content/uploads/ how_to_apply/ADNI_Acknowledgement_List.pdf

*Correspondence to: Yonggang Shi, PhD, Laboratory of Neuro Imaging (LONI), Stevens Neuroimaging and Informatics Institute, Keck School of Medicine, University of Southern California, 2025 Zonal Avenue, Los Angeles, CA 90033, USA. Tel.: +1 323 442 7246; E-mail: yshi@loni.usc.edu.

¹These authors contributed equally to this work.

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34 INTRODUCTION

The prodromal period of Alzheimer's disease (AD) 35 referred to as mild cognitive impairment (MCI) 36 due to AD is a transitional stage, which provides 37 the opportunity to prevent the further deterioration 38 of disease [1-3]. However, accurate diagnosis of 39 MCI is a complex topic because of its heterogene-40 ity. Widely varying progression rates of the disease 41 may occur within MCI individuals that have diverse 42 clinical symptoms [4]. Recently, MCI diagnosis 43 has been improved by utilizing neuropsychologi-44 cal assessment [5, 6], blood-based biomarkers [7], 45 or considering more than one impaired scores [8]. 46 Among the most prevalent events over the disease 47 course of AD, the specific role of neuropsychiatric 48 symptoms (NPS) during the prodromal MCI period, 49 however, has been relatively understudied. 50

The clinical symptoms of MCI individuals with 51 NPS have been well characterized in previous obser-52 vational studies. Depression, apathy, and anxiety 53 are the most frequently observed symptoms in peo-54 ple with MCI due to AD [9, 10]. MCI subjects 55 with behavior symptoms exhibited greater impair-56 ment in cognition and daily function compared to 57 those without behavior abnormalities [11]. Across the 58 AD continuum, NPS tend to be more prevalent with 59 the progression of disease stages, and peak in preva-60 lence in the more moderate disease stages [12]. For 61 example, symptoms of delusions and hallucinations. 62 apathy, and sleep problems increased in frequency 63 as disease progresses, and were found to be asso-64 ciated with higher risk of conversion from MCI to 65 dementia [13-15]. The presence of delusions, agi-66 tation/aggression, and aberrant motor behavior has 67 been regarded as the predictor of progression from 68 MCI to probable AD [16]. Treating the symptoms 69 such as depression and apathy, on the other hand, 70 could possibly delay the deterioration of the disease 71 [17]. Recently, improved diagnostic accuracy for the 72 MCI individuals has been optimized using multi-73 modal behavioral analysis [18]. These results indicate 74 that MCI individuals with behavior symptoms are 75 more likely to develop dementia, but the biological 76 underpinnings of these observations remain unclear. 77

While neuroimaging has been widely used in AD
research and provided an *in vivo* window to examine the biological changes such as cortical thickness
and misfolded tau and amyloid-β (Aβ) proteins during disease progression, not much is known about
the variation of neuroimaging markers in MCI individuals with NPS. Non-AD specific biomarkers such

as cortical atrophy, white matter lesions, and connectivity deficits were commonly used in previous studies. For example, frontal cortices were the brain regions whose atrophy was the most associated with NPS in AD patients [19-21]. Abnormal functional connectivity between the frontal regions and amygdala was revealed in AD patients with depression [22]. The increase of NPS such as delusion, hallucination, agitation, depression, and irritability was significantly associated with white matter hyperintensities of the temporal and frontal lobes in subjects with MCI due to AD [23]. Connectivity changes of the superior longitudinal fasciculus between the frontal and temporal/parietal lobe was observed in MCI and AD individuals with NPS [24]. In addition, a limited number of investigations suggested that there was some degree of association between behavior symptoms and AD specific biomarkers such as AB plaques [25]. Delusion, apathy, and depression were the most prevalent NPS associated with A β plaque burden and neurofibrillary tangles [26]. Strong associations were observed between behavior performance and tau positron emission tomographic (PET) signals in the parietal association area, superior frontal, temporal, and medial occipital lobes of aging and dementia due to AD [27]. While these previous studies provided valuable information about NPS at the moderate to severe stage of AD, there is a lack of detailed characterization of how neuroimaging patterns vary with respect to NPS during the disease progression of MCI patients.

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As a systematic approach to organize neuroimaging markers in AD research, the amyloid/tau/neurodegeneration (AT[N]) framework was proposed recently as a dichotomous method for the classification of individuals across the clinically normal to dementia spectrum [28-30]. Due to the fluctuation of NPS in the course of AD, the relationship between the alterations of neuroimaging markers and the severity of NPS had been challenging to delineate directly [31, 32]. To overcome this difficulty, we will follow the approach of the AT[N] framework and classify MCI individuals into several subsets based on the dichotomous measures of NPS [33] and AB plaques. Tau pathology patterns as well as the association patterns between tau deposition and cognitive performance will then be characterized for each group. We hypothesize that tau deposition exhibits anatomically diverse patterns for MCI individuals with different amyloid and behavioral profiles. There may be an enhanced association between cognitive impairment and tauopathy in the presence of behavior symptoms,

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especially with the elevated amyloid pathology for
subjects in the prodromal stage. The delineation of
NPS in MCI may provide additional information
regarding the risk of disease progression and lead
to improved screening tools for patient selection in
clinical trials.

143 MATERIALS AND METHODS

144 Participants and grouping strategy

In the current study, we used data from elderly 145 MCI subjects of the multi-center Alzheimer's Disease 146 Neuroimaging Initiative (ADNI) study (https://adni. 147 loni.usc.edu). The ADNI was launched in 2003 as 148 a public-private partnership, led by principal inves-149 tigator Michael W. Weiner, MD. Among the goals 150 of ADNI is to test whether serial MRI, PET, other 151 biological markers, and clinical and neuropsycho-152 logical assessment can be combined to measure the 153 progression of MCI and early AD [34]. The diag-154 nostic criteria in ADNI was previously described 155 [35]. Informed written consent was obtained from 156 all participants at each site. Subjects underwent both 157 ¹⁸F-AV-1451 PET and structural T1 scans in the lat-158 est visit were first screened. Subjects with amyloid 159 florbetapir (AV-45) or florbetaben (FBB) PET scans 160 within the time interval of one year before/after to 161 the acquisition time of tau PET scans were then 162 selected. The behavioral performance of each subject 163 was assessed by the total Neuropsychiatric Inventory 164 (NPI) score based on 12 domains and the cognitive 165 performance was assessed by the total Alzheimer's 166 Disease Assessment Scale cognition 13 (ADAS-Cog-167 13) score based on 13 cognitive domains. The time 168 interval between the acquisition of tau PET scans and 169 clinical scores were less than three months. Since we 170 focus on late-onset MCI, only participants with age 171 >65 years and complete cognitive and behavioral 172 assessments were included. By June 11, 2019, 151 173 participants meeting the above requirements were 174 selected from ADNI-2 and ADNI-3. 175

Score of each behavioral domain of NPI is acquired 176 based on the subjective perception from caregivers 177 and calculated as the product of severity and fre-178 quency, which is discontinuous as compared to other 179 clinical scores [36]. In addition, the neuropsycholog-180 ical testing in ADNI is not a mechanical process. 181 The psychometrist must simultaneously administer 182 tests, observe, and assess participant behavior, and 183 make necessary adjustments during an actual test 184 session. Subjects with severe behavior abnormalities 185

were excluded from ADNI to reduce the impact on the assessment of cognitive performance. As shown in Fig. 1, a large number of the subjects have a total NPI score of 0 and most subjects were scored less than 5. This "floor" effect of the NPI score may lead to insufficient statistical power to detect its association with imaging markers [37, 38]. Following the dichotomous classification approach of the AT[N] framework, we thus adopt a binary grouping approach to categorize the behavior status of the subjects with a threshold of zero to the total NPI score (behavior normal/abnormal: B-/B+). To analyze the impact of behavioral status in the context of AD spectrum, we combine it with the amyloid status (amyloid negative/positive: A-/A+) of these MCI subjects, which was calculated by ADNI with a cutoff of 1.11 for AV-45 tracer and 1.08 for FBB tracer. Four groups were finally generated with both amyloid and behavior profiles: A-B-, A-B+, A+B-, and A+B+.

T1-weighted MRI acquisition and processing

All subjects were scanned by 3.0 T MRI scanners using a 3D MP-RAGE or IR-SPGR T1-weighted sequences. The detailed protocol can be found online (https://adni.loni.usc.edu/methods/documents/mriprotocols). These T1-weighted MRI images were processed with the FreeSurfer software (version 6.0) (https://surfer.nmr.mgh.harvard.edu/), which automatically segmented the MRI into 34 cortical regions of interest (ROIs) in the native space of each subject using the Desikan-Killiany atlas [39].

Tau PET image acquisition and processing

The radiochemical synthesis of ¹⁸F-AV-1451 were overseen and regulated by Avid Radiopharmaceuticals and distributed to qualifying ADNI sites. PET imaging was performed at each ADNI site according to standardized protocols. These images all passed the quality control and were realigned, averaged, resliced to an isotropic voxel size of 1.5 mm, and smoothed to 8 mm³ resolution.

All preprocessed tau PET scans from ADNI were then further processed with PetSurfer [40] in FreeSurfer (version 6.0). A high-resolution segmentation was first created using the Desikan-Killiany Atlas [39] to derive the ROIs for partial volume correction. The PET scan was then registered to the structural T1-weigthed MRI space. The Mueller-Gaertner approach [40, 41] was applied to correct the partial volume effects and the full-width/halfmax kernel of the point-spread function used for



Fig. 1. Distribution of the NPI total score for the current cohort. A) The violin plot of NPI total score for the A– group; B) the violin plot of NPI total score for the A+ group; C) the scatter plot of NPI total score and ADAS_cog 13 total score for the A– group; D) the scatter plot of NPI total score and ADAS_cog 13 total score for the A+ group.

smoothing was 8×8×8 mm³. Standardized uptake
value ratio (SUVR) images were calculated for each
subject using the whole cerebellum grey matter as the
reference region and then mapped to cortical surface.
Mean SUVRs of 34 cortical ROIs on each hemisphere
were finally calculated.

241 Statistical analysis

To assess the association of cognitive performance 242 and tau SUVR, Pearson's correlation test between 243 the total score of ADAS-Cog 13 and regional mean 244 SUVR of AV-1451 was first conducted on two groups 245 (A+ and A-) and then on four groups (A-B-, A-B+, 246 A+B-, and A+B+) at the level of cortical ROIs. As 247 a sensitivity analysis, to confirm the influence of 248 AD-related factors, we also conducted linear regres-249 sion analysis with the total score of ADAS-Cog-13 250 as the response variable and the regional mean 251 SUVR of AV-1451 as the predictor, adjusting for 252 age, gender, education, and APOE allele $\varepsilon 4$ carrier 253 status (Supplementary Material). For all statistical 254 tests across cortical regions, the false discovery rate 255 (FDR) correction was applied for the correction of 256

multiple comparisons. An adjusted p-value of p < 0.05 ($-\log_{10}(p) > 1.3$) was considered as statistically significant in all analyses.

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RESULTS

Study cohort characteristics

Demographic and clinical characteristics of the study cohort are presented in Table 1. There were no significant differences in demographic or cognitive characteristics within the A– groups (A–B– versus A–B+). Within the A+ groups, the A+B+ group was more impaired than the A+B– group based on the ADAS-Cog-13 score (*T*-test, p = 0.0204). It is worth noting that there is no significant difference in age and education between subjects with and without behavioral changes for either the A– or the A+ groups.

Patterns of tau deposition based on amyloid status (A– and A+ groups) and the association with cognitive scores

Mean tau SUVR of 34 cortical regions of both hemispheres based on amyloid status (A+/A–) are

Demographic information of the MCI subjects												
Amyloid status	A–				A+							
Behavior status	Total (79)	B-(36)	B+ (43)	р	Total (72)	B-(25)	B+ (47)	р				
Gender (M/F)	52/27	23/13	29/14		45/27	15/10	30/17					
Education	16.61 ± 2.75	17.03 ± 2.29	16.26 ± 3.06	n.s.	15.81 ± 2.73	15.60 ± 2.60	15.91 ± 2.82	n.s.				
Age	76.35 ± 6.57	76.21 ± 5.04	76.48 ± 7.67	n.s.	78.09 ± 6.39	78.48 ± 6.34	77.89 ± 6.48	n.s.				
ADAS-cog-13	16.48 ± 5.35	16.59 ± 5.89	16.39 ± 4.91	n.s.	20.63 ± 7.30	17.92 ± 6.61	22.08 ± 7.30	0.0204				
MMSE	28.47 ± 1.68	28.78 ± 1.44	28.21 ± 1.83	n.s.	26.85 ± 2.61	27.52 ± 1.58	26.49 ± 2.97	n.s.				
APOE allele $\varepsilon 4$ (0/1/2)	63/8/1	26/5/0	37/3/1		28/24/12	12/9/2	16/15/10					

Table 1 Demographic Information of the MCI subjects

For the whole cohort, all subjects have NPI total score, ADAS-cog-13 score, MMSE score, and Amyloid state information. 15 subjects have no *APOE* genetic information. Values are given as mean \pm standard deviation. Two tailed student *t*-tests were conducted for comparisons between conditions. A–, amyloid negative; A+, amyloid positive; B–, behavior normal; B+, behavior abnormal; M, male; F, female; *APOE*, apolipoprotein E; NPI, Neuropsychiatric Inventory; MMSE, Mini-Mental State Examination; ADAS-cog-13, Alzheimer's Disease Assessment Scale cognition 13; n.s., no significance.



Fig. 2. Maps of the regional mean tau SUVR of each group (A– and A+) are shown in the first two columns. The p-value map $(-\log_{10}(p))$ of t-test for the difference in regional mean tau SUVR between the subjects of A– and A+ group was shown in the third column. FDR corrected p-values with $-\log_{10}(p) > 1.3$, i.e., p < 0.05 was treated as statistically significant.

plotted in Fig. 2. Significantly elevated regional mean tau SUVR is observed in nearly the whole cerebrum for the A+ subjects as compared to the A- subjects based on the two tailed student *t*-test (FDR correction, $-\log_{10}(p) > 1.3$).

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The statistical results (p-value maps) for the asso-282 ciation between tau SUVR and ADAS-Cog-13 based 283 on their amyloid status (A+/A-) are shown in Fig. 3. 284 For the A- group, the ADAS-Cog-13 score is sig-285 nificantly associated with cortical tau SUVR in the 286 temporal pole and the entorhinal cortex in both hemi-287 spheres after FDR correction. The associated regions 288 extended into nearly the whole cerebrum for the 289 A+ group. Statistical results are similar when we 290 conducted the multivariable regression analysis with 291 adjustment for age, gender, education, and APOE 292 status (Supplementary Figure 1). 293

Patterns of tau deposition according to amyloid and behavioral profiles and the association with cognitive scores

Mean tau SUVR of the cortical regions based on the 4-group division (A–B–, A–B+, A+B–, and A+B+) are plotted in Fig. 4. *T*-test results of the regional



Fig. 3. Based on the amyloid status (A– and A+ groups), associations between regional mean tau SUVR and ADAS-Cog-13 score were identified using Pearson's correlation. The *p*-value maps ($-\log 10(p)$) were shown in the first (uncorrected) and second (FDR corrected) rows. *p*-values with $-\log 10(p) > 1.3$, i.e., *p* < 0.05 was considered as statistically significant.

tau SUVR between each group are shown in the second row. After FDR correction, there is no significant difference between subjects with and without behavior symptoms within either the A– or the A+ group (A–B– versus A–B+ and A+B– versus A+B+). It is worth noting that there is significant difference between the A–B+ group and A+B– group, and the significant regions are displayed in nearly all the brain



Fig. 4. Based on the amyloid (A– and A+) and behavior (B– and B+) status, maps of the regional mean tau SUVR of each group were shown in the first row. The *p*-value maps ($-\log 10(p)$) of *t*-test were shown in the second row. FDR corrected *p*-values with $-\log 10(p) > 1.3$, i.e., p < 0.05 was considered as statistically significant.



Fig. 5. Based on the amyloid (A– and A+) and behavior (B– and B+) status, statistically significant associations between regional mean SUVR and ADAS-Cog-13 score were identified using Pearson's correlation for each group. The *p*-value maps ($-\log 10(p)$) were shown in the first (uncorrected) and second (FDR corrected) rows. *p*-values with $-\log 10(p) > 1.3$, i.e., *p* < 0.05 was considered as statistically significant.

regions except for the entorhinal cortex and temporalpole.

The statistical results (p value maps) between tau 310 SUVR and cognitive scores according to amyloid and 311 behavioral profiles are plotted in Fig. 5. Among the 312 A- subjects, no association is found in either hemi-313 sphere between cognitive scores and tau SUVR for 314 the A-B- group, while significant association is dis-315 covered in the temporal pole on both hemispheres for 316 the A-B+ group after FDR correction. Among the 317 A+ subjects, there is still no significant association 318 between the tau SUVR and cognitive scores in either 319 hemisphere for subjects without behavior symptoms 320 (A+B- group) after FDR correction. On the contrary, 321 for the A+B+ group, regions with significant associ-322 ations spread into nearly the whole cerebrum on both 323 hemispheres. Within both A- and A+ groups, it is 324 worth noting that regions with significant association 325 increased significantly (with or without FDR correc-326 tion) when the behavior status of the MCI subjects 327 switches from normal (B-) to abnormal (B+). Similar 328

statistical results are obtained when we conducted the multivariable regression analysis with adjustment for age, gender, education, and *APOE* status (Supplementary Figure 2).

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DISCUSSION

The prodromal period is the stage in which the common AD pathology may coexist with other agerelated pathologies, which could be reflected by diverse cognitive and behavioral symptoms. Using AD-related markers to distinguish the diverse patterns of MCI is essential for clinical diagnosis and treatment, as well as confirming which MCI patients should be included in different clinical trials [42]. In the current study, we included 151 MCI subjects from ADNI and demonstrated the association between cognitive performance and tau deposition of four sub-groups based on their amyloid status and the presence or absence of behavioral symptoms

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(A-B-, A-B+, A+B-, and A+B). Enhanced asso-347 ciation between tau deposition and cognitive scores 348 was found for subjects in the sub-groups with behav-349 ior symptoms, especially in the presence of elevated 350 amyloid status. Based on the current neuroimaging 351 study, MCI individuals with NPS may be at greater 352 risk for further cognitive decline with the increase of 353 tau deposition in comparison to those without NPS. 354

AB is the first biomarker reported to become abnor-355 mal in carriers of autosomal dominant AD [43, 44]. 356 Positive amyloid biomarkers have been associated 357 with long-term increased risk of incident dementia, 358 especially for individuals with MCI [45, 46]. Across 359 the normal aging to clinical dementia spectrum, there 360 is a strong association of elevated tau deposition in 361 both medial temporal lobe structures and the whole 362 neocortex with positive amyloid status [47, 48]. In 363 our study, as expected, higher tau SUVR values were 364 found in the A+ group relative to the A- group for 365 the MCI subjects. The distribution of regions with 366 significant associations between tau SUVR and cog-367 nitive impairment increase significantly from the A-368 group to the A+ group. Our results thus confirm the 369 increased disease severity and enhanced association 370 between tauopathy and cognitive impairment for sub-371 jects with elevated AB pathology in the MCI cohort. 372

However, there may contain several distinct dis-373 ease patterns of the MCI individuals even if they 374 are under the same amyloid status. For example, 375 vascular disease and depression may account for 376 the AD like phenotype for the amyloid negative 377 subjects that have been diagnosed as MCI [49]. Sus-378 pected non-AD pathology was also observed in a 379 MCI cohort with elevated amyloid pathology [50]. 380 To observe the heterogeneity of MCI, traditional 381 methods have been proposed to define the subtypes 382 of MCI as amnestic, non-amnestic, single-domain, 383 and multi-domain [51, 52]. Diverse patterns of clin-384 ical characteristics and rates of disease conversion 385 were observed among these subtypes [53]. However, 386 longitudinal studies demonstrated that both amnes-387 tic and non-amnestic MCI exhibit approximately 388 equal proportions of "pure" AD pathology or other 389 pathologies at autopsy [54]. Traditional subtyping of 390 MCI may be insufficient to characterize the under-391 lying neuropathologic substrates of "amnestic" and 392 "non-amnestic" cognitive impairment profiles. As a 393 consequence, empirically-derived subtypes of MCI 394 based on neuropsychological scores or the combi-395 nation of multiple impaired scores were proposed 396 to identify homogenous subgroups reflecting poten-397 tially common etiology and probable outcomes [4, 5]. 398

Phenotype harmonization consortium based on the cognitive composite scores including memory, executive function, language, and visual-spatial have been developed previously for the accurate diagnosis of AD patients, which may also have the potential to classify the subtypes of MCI [55–57].

In our current study, we proposed a dichotomous 405 grouping approach based on the NPS and amyloid 406 status to consider the heterogeneity within MCI sub-407 jects. As the most prevalent co-occurring events over 408 the disease course of AD, NPS including depression, 409 anxiety, and apathy are common in MCI and sub-410 jects with these symptoms may represent a higher 411 risk of cognitive decline and disease progression [58]. 412 NPS were also found to be correlated with increased 413 neurofibrillary tangles and amyloid plaques, which 414 are specific biomarkers of AD [59]. For instance, 415 tau deposition in the entorhinal cortex and inferior 416 temporal lobe was found to be modestly associated 417 with depressive symptoms [60]. The accumulation 418 of tau in the brainstem early during the course of AD 419 may affect sleep [61]. The AT[N] framework pro-420 vides a formal descriptive classification scheme to 421 describe the staging of AD spectrum [29]. Clinical 422 information such as behavior status could be used to 423 supplement and enhance the application of AT[N] in 424 cognitive aging and dementia research [29]. As can 425 be seen from Fig. 5, cognitive impairment is asso-426 ciated with tau SUVR in the entorhinal cortex and 427 temporal pole in both hemispheres for A-B+ group, 428 while no association is detected for A-B- groups 429 after FDR correction. In contrast, the regions with 430 significant association for the A+B+ group extended 431 into nearly the whole cerebrum, while there was no 432 region with such an association in the A+B- group. 433 Additionally, amyloid positive subjects with behavior 434 symptoms (the A+B+ group) are more impaired than 435 those without behavior symptoms (the A+B- group) 436 as assessed by the ADAS-Cog-13 score. Our results 437 demonstrate that the relationship between tau deposi-438 tion and cognitive impairment is enhanced in subjects 439 with abnormal behavior status in both the A- and 440 A+ groups, and the enhancement is more prominent 441 for amyloid-positive subjects. Similar to the AT[N] 442 framework, current grouping strategy provides a 443 perspective to identify the homogenous subgroups 444 reflecting common etiology and probable outcomes 445 other than requiring individuals to conform to pre-446 determined criteria (i.e., amnestic, or non-amnestic). 447 MCI individuals with NPS may be at greater risk 448 for further cognitive decline with the increase of tau 449 deposition in comparison to those without NPS. 450

It is worth noting that the MCI subjects in the A-B+ 451 group show no significant difference in the cognitive 452 scores as compared to the A-B- group. However, 453 significant association between tau deposition and 454 cognitive performance is observed in a number of cor-455 tical areas (mostly in the temporal lobe) for the A-B+ 456 group, while no significant association is found in any 457 cortical ROI for the A-B- group after FDR correc-458 tion. Aged individuals with neurofibrillary tangles but 459 in the absence of amyloid plaques is recommended 460 as primary age-related tauopathy (PART) [62]. It is 461 recognized as a distinct clinical entity that lies on the 462 Alzheimer pathologic spectrum. However, the defini-463 tive characterization of the boundary between the 464 PART and other tauopathies including typical AD is 465 challenging. The positive correlation between cogni-466 tive scores and the tau SUVR in the temporal lobe 467 for the A-B+ group may represent subtle behavioral 468 changes occurring in the context of PART. Future 469 studies would be required to understand the specific 470 role of behavior symptoms in PART. 471

Our results also have potential implications regard-472 ing patient screening in AD clinical trials. The 473 multifactorial causes of dementia are a challenge for 474 both diagnosis and treatment as various neuropatho-475 logic processes contribute to cognitive impairment. 476 For the confirmation of the disease status of a patient, 477 the amyloid and tau pathologies, as well as other 478 possible comorbidities such as vascular changes and 479 Lewy body pathology, should be considered collec-480 tively. As can be seen in the current study, the A-B+ 481 group in our MCI cohort may contain subjects with 482 non-AD neuropsychiatric disorders or subjects with 483 high risk in conversion to AD, which cannot be 484 regarded simply as controls even if they are amy-485 loid negative in clinical trials with MCI subjects. On 486 the other hand, subjects in the A+B- group exhibit 487 distinct association pattern of the tauopathy with cog-488 nitive decline compared to those in the A+B+ group. 489 We propose that groups A+B- and A+B+ thus should 490 not be treated equally in clinical trials. Our study pro-491 vides imaging support for the notion that the presence 492 of behavioral symptoms combined with the presence 493 of specific biomarkers (AB pathology, etc.) might be 494 used as an enrichment strategy for the enrollment of 495 MCI subjects in AD clinical trials. 496

There are several limitations that must be acknowledged in the current study. The relatively small sample size of the MCI cohort makes it impossible to disentangle how each type of behavior domain of the NPI might influence the association between tau deposition and cognitive performance. Because

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subjects with severe NPS were excluded from the ADNI project, persons with high NPI scores were not well represented in the current study. As can be seen from Table 1, about 2/5 of the entire cohort have a total NPI score of 0. This leads to a very limited sample size of subject with non-zeros NPI scores. We thus did not further distinguish between participants with minimal behavioral symptoms and those with more severe symptoms in our analysis and instead used the NPI total score as a dichotomous (-/+) measure to profile the cohort and delineate the association between tau SUVR pattern and cognitive impairment under different amyloid and behavior status. Because the NPI scale was originally developed to assess NPS in AD patients at the dementia stage, one possible limitation of our approach is that NPI alone maybe insufficient in the detection of behavior abnormality in the MCI population. New scales such as Mild Behavioral Impairment have been recently proposed to measure NPS in MCI population and should be considered in future studies [63, 64].

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The inclusion criteria for the current study are that all subjects should undergo T1-weighted MRI, tau PET, and amyloid PET scans, as well as have the ADAS-cog-13 and NPI total scores. However, complete scans of tau PET images were not acquired on all subjects for each visit, thus limiting our ability to perform longitudinal analysis and examine whether subjects with behavior symptoms suffer a higher risk of disease conversion than those without behavior symptoms. In addition, subjects in the A-B+ group are likely to represent a complex and heterogenous group, including PART, in which the presence of NPS was hard to interpret. It likely represents the influence of various neuropsychiatric disorders including AD in this group as different syndromes may have similar NPS but diverse neurobiological mechanisms [65, 66]. This may be one of the reasons why no significant difference of tau deposition in the entorhinal cortex and temporal pole between the A-B+ group and A+B- group was detected. Subjects in the A+Bgroup, on the other hand, showed lower ADAS_cog scores as compared to those in the A+B+ group. However, no significant difference of the tau deposition was found between the two groups. Amyloid positive subjects with the presence of behavior abnormalities may suffer a more serious cognitive decline with the increase of tau deposition, while those without NPS exhibit different disease patterns. To verify the current results and make predictive inferences, longitudinal analyses will need to be conducted with the increased sample size of ADNI.

554 Conclusions

The alterations of neuroimaging markers such as 555 tau-PET signals of the MCI individuals with the pres-556 ence of NPS are still under recognized. The enhanced 557 association between the cortical tau pathology and 558 cognitive impairment for subjects with behavior 559 symptoms provides neuroimaging evidence of the 560 role of NPS during the prodromal period, especially 561 in the presence of elevated AB pathology. Behavioral 562 symptoms combined with the commonly used AB 563 pathology biomarker may be beneficial for improving 564 the classification of MCI, and possibly as an inclusion 565 criterion in clinical trials. 566

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SUPPLEMENTARY MATERIAL

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